

FORM PTO-1390
(REV. 7-2004)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
9632-093-999

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

APPLICATION NO. (if known, see 37CFR 1.5)
TBA
10/522911

INTERNATIONAL APPLICATION NO.
PCT/US2003/024209

INTERNATIONAL FILING DATE
July 31, 2003

PRIORITY DATE CLAIMED
July 31, 2002

TITLE OF INVENTION
DRUG CONJUGATES AND THEIR USE FOR TREATING CANCER, AN AUTOIMMUNE DISEASE OR AN INFECTIOUS DISEASE

APPLICANT(S) FOR DO/EO/US
Peter D. Senter; Svetlana Doronina; Brian E. Toki

Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The U.S. has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unexecuted)(2 pages).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
Copies of: (i) International Publication Nos. WO 2004/010957 A2 and WO 2004/010957 A3 of International Application No. PCT/US2003/024209, the latter showing amendments made under PCT Article 19; (ii) International Search Report issued in connection with PCT/US2003/024209; and (iii) Written Opinion issued in connection with PCT/US2003/024209.

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|---|--------------|--|------------|--|-----------|
| US APPLICATION NO. (If known, see 37 CFR 1.53) TBA 107522911 | | INTERNATIONAL APPLICATION NO. PCT/US2003/024209 | | ATTORNEY'S DOCKET NUMBER 9632-093-999 | |
| 21. The following fees are submitted: | | | | | |
| BASIC NATIONAL FEE (37 CFR 1.492 (A) (1) - (5)): | | | | | |
| <input checked="" type="checkbox"/> a) Basic national fee | | | | \$300.00 | |
| <input checked="" type="checkbox"/> b) Search fee | | | | \$500.00 | |
| <input checked="" type="checkbox"/> b) Examination fee | | | | \$200.00 | |
| TOTAL OF CALCULATIONS = | | | | \$ | \$1000.00 |
| <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing of computer programs listing filed in electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number). | RATE | | |
| 299 -100 | 199 /50 | 4 | x 250.00 | | \$1000.00 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). | | | | \$ | \$130.00 |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE X | | |
| Total Claims | 378 - 20 | 358 | x \$50.00 | | \$0.00 |
| Independent Claims | 37 - 3 | 34 | x \$200.00 | = | \$0.00 |
| <input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + 360.00 | - | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS= | | | | = | \$2130.00 |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 50% | | | | - | \$1065.00 |
| SUBTOTAL = | | | | \$ | \$1065.00 |
| Surcharge of \$130.00 for furnishing the English Translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | \$0.00 |
| TOTAL NATIONAL FEE | | | | = | \$1065.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 0 x \$40.00 per property | | | | \$ | \$0.00 |
| TOTAL FEES ENCLOSED | | | | = | \$1065.00 |
| | | | | Amount to be refunded: | |
| | | | | charged: | \$1065.00 |
| a. <input type="checkbox"/> A check in the amount of \$ to cover the above fees is enclosed. | | | | | |
| b. <input checked="" type="checkbox"/> This is an estimate of the fees due. Please charge Deposit Account No. 50-3013 to cover the required fees. A copy of this sheet is enclosed. | | | | | |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3013. A copy of this sheet is enclosed. | | | | | |
| Note: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| 22. <input checked="" type="checkbox"/> Other instructions: Please note that the International Application No. PCT/US2003/024209 was amended under PCT Article 19 via an amendment filed on June 16, 2004. The amendments made therein are shown in the enclosed copy of International Publication No. WO 2004/010957 A3. | | | | | |
| 23. <input checked="" type="checkbox"/> All correspondence for this application should be mailed to JONES DAY 20583 | | | | | |
| 24. <input checked="" type="checkbox"/> All telephone inquiries should be made to 212-326-3939. | | | | | |
| Adriane M. Antler | | <i>Adriane M. Antler</i> | | 32,605 | |
| NAME | | SIGNATURE | | REG. NO. | |
| | | | | January 28, 2005 | |
| | | | | DATE | |